

Dear Investors,

This is to inform you about certain important formalities that customers need to comply with in order to invest in Mutual Funds schemes.

As per Securities and Exchange Board of India (SEBI) Circular dated April 27, 2007 and letter dated June 25, 2007, Permanent Account Number (PAN) has been made the sole identification number for **all** participants investing in the securities market, **irrespective of the amount of investment**, effective July 02, 2007. **This means that submission of copy of PAN card is mandatory for all investors (including NRIs) for investing with any mutual fund.**

Those investors not having a PAN card should apply for PAN immediately and applications for investment should be accompanied by evidence of having applied for PAN. Such investors should, in addition, submit a declaration in Form 60/61 and address proof, if the investment is for a value of Rs. 50,000 or more.

#### **Process for completion of PAN formalities**

With effect from July 2, 2007, for all purchase applications (fresh purchases and additional purchases), investors will need to submit a self-attested copy of their PAN card along with the original for verification. The PAN requirements will be applicable to all joint applicants as well as the Guardian, in case of applications by a Minor.

The original PAN card will be returned across the counter at the Mutual Fund Investor Service Centres and the CAMS Service Centres.

#### **Non-completion of PAN formalities**

Please note that effective July 02, 2007, all transactions without PAN verification/evidence of having applied for PAN (along with Form 60/61 where applicable) will be rejected.

As the above requirements are mandatory under SEBI guidelines, we request your cooperation to complete these formalities. If you have any queries, please do not hesitate to call us or e-mail us at [helpdesk@dhanvridhi.com](mailto:helpdesk@dhanvridhi.com)

We look forward to your support in complying with these important regulatory changes, as this will help us to continue providing you excellent service